

09/830691'

JC08 Rec'd PCT/PTO 26 APR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eui-Sung Choi, Sang-Ki Rhee, Jung-Hoon Sohn, Soo-Dong Park, Yoon Hyoung Lee, Seung Jae Lee, Jae Kweon Jang, Seok Keun Choi, and Young Rok Son

Docket: 118.12-US-WO

Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL 816010360US

Date of Deposit: April 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: Darlene Ross
Name: Darlene Ross

BOX PCT

Commissioner for Patents

Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ Form PTO-1390: TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371.
The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ A copy of International Application No. PCT/KR99/00265 as filed
- ☒ A Preliminary Amendment - 3 pg(s).
- ☒ 5 sheets of Formal Drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to (1) KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY; and (2) HAITAI CONFECTIONARY CO., LTD., Recordation Form Cover Sheet
- ☒ PTO-2038 Credit Card Payment Form in the amount of \$1,000 to cover the Filing Fee.
- ☒ PTO-2038 Credit Card Payment Form in the amount of \$40 to cover the Assignment Recordation Fee.
- ☒ Computer readable and paper form of SEQUENCE LISTING. Applicants state that the contents of the paper copy form of the SEQUENCE LISTING section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Statement Regarding Biological Deposit Under 37 CFR 1.801-1.809 - 2 pages
- ☒ KCTC Receipt in Case of an Original Deposit - Accession No. KCTC 0535BP.
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$1,000.00
Total Claims				
13	20	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$80.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$1,000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0494. A duplicate of this sheet is enclosed.

GATES & COOPER LLP

Howard Hughes Center
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(310) 641-8797

By: K S Canady

Name: Karen S. Canady

Reg. No.: 39,927

Initials: KSC/dr

(PTO TRANSMITTAL - NEW FILING)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Eui-Sung Choi et al.	Examiner:	To be assigned
Serial No.:	To be assigned	Group Art Unit:	To be assigned
Filed:	Herewith	Docket:	118.12-US-WO
Title:	VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY		

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By: *Darlene Ross*
Name: Darlene Ross

STATEMENT REGARDING BIOLOGICAL DEPOSIT
UNDER 37 CFR §§ 1.801-1.809

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants submit this statement regarding the deposit of biological material concurrently with the above-identified patent application.

1. Applicants state that the deposit of Korean Collection for Type Cultures Accession No. KCTC 053BP referred to on page 20 of the specification of the above-identified patent application has been accepted by the Korean Collection for Type Cultures ("KCTC") at Korean Research Institute of Bioscience and Biotechnology (KRIBB), #52, Oun-dong, Yusong-ku, Taejon 305-333, Republic of Korea, an International Depository Authority under the provisions of the Budapest Treaty.
2. All restrictions upon public access to the deposit will be irrevocably removed upon the grant of a patent on this application, and the deposit will be replaced if viable samples cannot be dispensed by the depository.
3. The material has been deposited under conditions that ensure that access to the material will be available during the pendency of the patent application to one determined by the Commissioner to be entitled thereto under 35 CFR §1.14 and 35 USC §122.

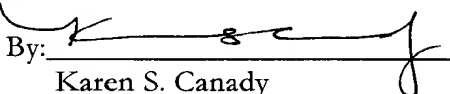
4. The deposited material will be stored with all care necessary to keep it viable and uncontaminated for a period of at least five years after the most recent request for the furnishing of a sample of the deposited material, and in any case at least thirty (30) years after the date of a deposit or for the enforceable life of the patent, whichever is longer.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: April 26, 2001

By: 
Karen S. Canady
Reg. No.: 39,927

KSC/dr

G&C 118.12-US-WO

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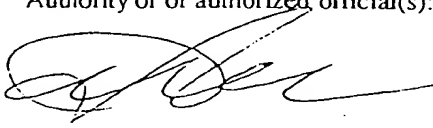
BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT
OF MICROORGANISMS FOR THE PURPOSE OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1

TO: CHOI, Eul-Sung
 Dasol Apt. 102-507, #395-3, Goong-dong, Yusong-ku, Taejon 305-335,
 Republic of Korea

I. IDENTIFICATION OF THE MICROORGANISM	
Identification reference given by the DEPOSITOR: <i>Escherichia coli</i> DH5@/pTPLR1	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: KCTC 0535BP
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION	
The microorganism identified under I above was accompanied by: <input checked="" type="checkbox"/> a scientific description <input type="checkbox"/> a proposed taxonomic designation (Mark with a cross where applicable)	
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on October 21 1998	
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was received by this International Depositary Authority on _____ and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on _____	
V. INTERNATIONAL DEPOSITARY AUTHORITY	
Name: Korean Collection for Type Cultures Address: Korea Research Institute of Bioscience and Biotechnology (KRIBB) #52, Oun-dong, Yusong-ku, Taejon 305-333, Republic of Korea	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  PARK Yong-Ha, Director Date: October 26 1998